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**CERTIFICATE OF MAILING BY "FIRST CLASS MAIL" 37 C.F.R. §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on September 11 2001 in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231.

*Patti Crowder*  
Patti Crowder

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

David V. Pedersen et al.

Application No.: 09/539,287

Filing Date: March 30, 2000

For: LITHOGRAPHICALLY DEFINED  
MICROELECTRONIC CONTACT  
STRUCTURES

Examiner: Q. Vu

Group Art Unit: 2841

**INFORMATION DISCLOSURE STATEMENT (IDS)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If Form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

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☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- ☐ (1) It is being filed within 3 months of the application filing date (other than the filing date of a continued prosecution application under §1.53(d))  
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
- ☐ (4) It is being filed before the mail date of the first Office Action after the filing of a request for continued examination under §1.114.

☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; (3) the mailing date of a first Office action on the merits, or (4) the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, but before the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, then:

☒ a statement as specified in §1.97(e) is provided below; **or**

☐ a fee of \$240.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, but before payment of the issue fee, then:

A. a statement as specified in §1.97(e) is provided below; **and**

B. a fee of \$240.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

☒ **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0285 (Order No. P48D1-US).

Respectfully submitted,

Date: Sept. 11, 2001

By: N. Kenneth Burraston  
N. Kenneth Burraston  
Reg. No. 39,923

**STATEMENT**

*(Attachment to Information Disclosure Statement)*

- X 37 C.F.R. §1.97(e)(1). APPLICANT'S UNDERSIGNED ATTORNEY HEREBY STATES THAT each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement; or
- 37 C.F.R. §1.97(e)(2). APPLICANT'S UNDERSIGNED ATTORNEY HEREBY STATES THAT no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this statement after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

Respectfully submitted,

Date: Sept. 11, 2001

By: N. Kenneth Burraston

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